

Seminar on Axiomatic Metaphysics

Lecture 11

Philosophy of Mathematics I

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Two Kinds of Mathematics

- Mathematics has an intuitive division: natural mathematics and theoretical mathematics.
- Natural mathematics: ordinary, pretheoretic claims we make about mathematical objects.
 - The Triangle has 3 sides.
 - The number of planets is eight.
 - There are more individuals in the class of insects than in the class of humans.
 - Lines a and b have the same direction.
 - Figures a and b have the same shape.
- Theoretical mathematics: claims that occur in the context of some explicit or implicit (informal) mathematical theory, e.g., theorems.
 - In ZF, the null set is an element of the unit set of the null set.
 - In Real Number Theory, 2 is less than or equal to π .

Natural Mathematical Objects

- We've already analyzed the objects of natural mathematics:

- The Triangle.

$$\Phi_T =_{df} \iota x(A!x \ \& \ \forall F(xF \equiv \Box \forall y(Ty \rightarrow Fy)))$$

- The number of G s.

$$\#G =_{df} \iota x(A!x \ \& \ \forall F(xF \equiv F \approx_D G))$$

$$\text{Theorem: } \#F = \#G \equiv F \approx_D G$$

(Hume's Principle)

- The extension of G .

$$\epsilon G =_{df} \iota x(A!x \ \& \ \forall F(xF \equiv \forall y(Gy \equiv Fy)))$$

$$\text{Theorem: } \epsilon F = \epsilon G \equiv \forall x(Fx \equiv Gx)$$

(Basic Law V)

- The direction of line a .

$$\vec{a} =_{df} \epsilon[\lambda x x||a]$$

$$\text{Theorem: } \vec{a} = \vec{b} \equiv a||b$$

(Directions)

- In what follows, we distinguish the natural numbers from the theoretical numbers of Peano Arithmetic (PA), and the natural extensions from the theoretical sets of ZF, ZFC, NBG, NF, etc.

Goal: An Analysis of Theoretical Mathematics

- Our goal is a philosophical analysis of theoretical mathematics.
- To achieve the goal, distinguish (Shapiro 2004) three kinds of foundations for mathematics:
 - (logico-)metaphysical: identifies denotations and truth conditions.
 - epistemological: explain knowledge of mathematical claims
 - mathematical: a distinguished mathematical theory in which all other mathematical theories should be formulated.
- We're not attempting to give mathematical foundations. That is a mathematical question. Our analysis is consistent with whatever mathematical foundations, if any, that mathematicians agree on.
- Our goal: logico-metaphysical and epistemological analysis of mathematical theories, terms, predicates and statements, presupposing no mathematics.
- Mathematical theories, terms and predicates are identified (assigned denotations); mathematical statements are assigned truth conditions (in terms of the denotations).

A Second Goal: Unify Philosophy of Maths

- The main question in phil maths is which to adopt:
 - Platonism (Plato, Gödel)
 - Naturalism (Quine)
 - Fictionalism (Field, Balaguer) / Nominalism (Goodman & Quine)
 - Structuralism (Dedekind, Benacerraf, Shapiro, Resnik)
 - Inferentialism (Wittgenstein, Sellars, Brandom)
 - Formalism (Hilbert, Curry)
 - Carnapianism (Carnap)
 - Logicism (Frege, Whitehead & Russell)

Goal: Unify these.

- Psychologism offers no answer to $\emptyset_{ZF} = \dots?$
- Intuitionism, Constructivism, and Finitism urge a methodology (a separate issue). Philosophers shouldn't tell the mathematicians how to practice.
- If-Thenism/Deductivism/Modal Structuralism (Putnam, Hellman): This is mathematical eliminativism. No de re knowledge. Discussed later.

Mathematical Theories

- Let T range over mathematical theories. Collapse theories that are notational variants or that have redundant axioms. Assume λ -Conversion is part of the logic of mathematical theories.
- Replace the function terms in T and their axioms with predicates and the corresponding relational axioms.
- Treat theories as situations:
 - The theory $T = \iota x(A!x \ \& \ \forall F(xF \equiv \exists p(T \models p \ \& \ F = [\lambda y p])))$, i.e.,
 $= \iota s \forall p(s \models p \equiv T \models p)$
- For each *sentence* φ that is a theorem of T , let φ^* be the result of indexing T 's primary, closed terms and predicates to T .
 Example:
 - If $T = \text{ZF}$ and $\varphi = \emptyset \in \{\emptyset\}$ (so $T \vdash \varphi$), then $\varphi^* = \emptyset_{\text{ZF}} \in_{\text{ZF}} \{\emptyset\}_{\text{ZF}}$
- Importation:** If $T \vdash \varphi$, then the following analytic claims are taken as truths of object theory: $T \models \varphi^*$ (read: φ^* is true in T).
- Truth in a theory is closed: $\varphi \vdash_T \psi$ and $T \models \varphi^*$, then $T \models \psi^*$
- Reduction Axiom:** $\tau_T = \iota x(A!x \ \& \ \forall F(xF \equiv T \models F\tau_T))$

Mathematical Individuals

- **Reduction Axiom:** Theoretically identify individual κ_T as follows:
 - $\kappa_T = \iota x(A!x \ \& \ \forall F(xF \equiv T \models F\kappa_T))$
 - $0_{\text{PA}} = \iota x(A!x \ \& \ \forall F(xF \equiv \text{PA} \models F0_{\text{PA}}))$
 - $0_{\text{ZF}} = \iota x(A!x \ \& \ \forall F(xF \equiv \text{ZF} \models F0_{\text{ZF}}))$
- **Consequence: Equivalence Theorem:**
 - $\kappa_T F \equiv T \models F\kappa_T$

Mathematical Properties and Relations

- The types and typed object theory sketched.
- Using typed object theory, assert comprehension for abstract objects at every type. Examples:
 - $\exists x(A!x \ \& \ \forall F(xF \equiv \varphi))$, φ has no free x s x has type i
 - $\exists P(A!P \ \& \ \forall F(PF \equiv \varphi))$, φ has no free P s P has type $\langle i \rangle$
 - $\exists R(A!R \ \& \ \forall F(RF \equiv \varphi))$, φ has no free R s R has type $\langle i, i \rangle$
- Recall **Importation Rule**: If $T \vdash \varphi$, then $T \models \varphi^*$.
- **Reduction Axiom**: Theoretically identify relation Π :
 - $\Pi_T = \iota R(A!R \ \& \ \forall F(RF \equiv T \models F\Pi_T))$
 - $N_{PA} = \iota P(A!P \ \& \ \forall F(PF \equiv PA \models FN_{PA}))$
 - $\in_{ZF} = \iota R(A!R \ \& \ \forall F(RF \equiv ZF \models F\in_{ZF}))$
- Consequence: **Equivalence Theorem**:
 - $\Pi_T F \equiv T \models F\Pi_T$

Two Examples of the Analysis

- By mathematical practice, both $\vdash_{ZF} \emptyset \in \{\emptyset\}$ and $\vdash_{\mathbb{R}} 2 \leq \pi$, and so:

$$\begin{array}{lll} \vdash_{ZF} [\lambda x x \in \{\emptyset\}] \emptyset & \vdash_{ZF} [\lambda x \emptyset \in x] \{\emptyset\} & \vdash_{ZF} [\lambda R \emptyset R \{\emptyset\}] \in_{ZF} \\ \vdash_{\mathbb{R}} [\lambda x x \leq \pi] 2 & \vdash_{\mathbb{R}} [\lambda x 2 \leq x] \pi & \vdash_{\mathbb{R}} [\lambda R 2 R \pi] \leq \end{array}$$

- By Importation : $ZF \models \emptyset_{ZF} \in_{ZF} \{\emptyset\}_{ZF}$ and $\mathbb{R} \models 2_{\mathbb{R}} \leq_{\mathbb{R}} \pi_{\mathbb{R}}$, and:

$$\begin{array}{lll} ZF \models [\lambda x x \in \{\emptyset\}]_{ZF} \emptyset_{ZF} & ZF \models [\lambda x \emptyset \in x]_{ZF} \{\emptyset\}_{ZF} & ZF \models [\lambda R \emptyset R \{\emptyset\}]_{ZF} \in_{ZF} \\ \mathbb{R} \models [\lambda x x \leq \pi]_{\mathbb{R}} 2_{\mathbb{R}} & \mathbb{R} \models [\lambda x 2 \leq x]_{\mathbb{R}} \pi_{\mathbb{R}} & \mathbb{R} \models [\lambda R 2 R \pi]_{\mathbb{R}} \leq_{\mathbb{R}} \end{array}$$

- Instances of Equivalence Theorem:

$$\begin{array}{lll} \emptyset_{ZF} F \equiv ZF \models F \emptyset_{ZF} & \{\emptyset\}_{ZF} F \equiv ZF \models F \{\emptyset\}_{ZF} & \in_{ZF} \mathcal{F} \equiv ZF \models \mathcal{F} \in_{ZF} \\ 2_{\mathbb{R}} F \equiv \mathbb{R} \models F 2_{\mathbb{R}} & \pi_{\mathbb{R}} F \equiv \mathbb{R} \models F \pi_{\mathbb{R}} & \leq_{\mathbb{R}} \mathcal{F} \equiv \mathbb{R} \models \mathcal{F} \leq_{\mathbb{R}} \end{array}$$

- Consequences:

$$\begin{array}{lll} \emptyset_{ZF} [\lambda x x \in \{\emptyset\}]_{ZF} & \{\emptyset\}_{ZF} [\lambda x \emptyset \in x]_{ZF} & \in_{ZF} [\lambda R \emptyset R \{\emptyset\}]_{ZF} \\ 2_{\mathbb{R}} [\lambda x x \leq \pi]_{\mathbb{R}} & \pi_{\mathbb{R}} [\lambda x 2 \leq x]_{\mathbb{R}} & \leq_{\mathbb{R}} [\lambda R 2 R \pi]_{\mathbb{R}} \end{array}$$

Ontological (Analytic) Reduction of Mathematics

- We now know what is denoted by mathematical terms and predicates in theoretical contexts, and what the truth conditions are for truth in a theory T .
- To complete our reduction, we give readings of unadorned (theoretical) mathematical statements on which they are true.
- Simple Case: ‘0 is a number’ (relative to Peano Number Theory): Two readings (suppressing subscripts):
 - $0\mathbb{N}$ (true)
 - $\mathbb{N}0$ (false)
- So the unadorned data is subject to an ambiguity in predication.
- The true reading, $0\mathbb{N}$, is derivable in object theory from the analytic truth $PA \models \mathbb{N}0$, by the Equivalence Theorem.

Ontological Reduction Generalized

- Consider any R and note that it is an axiom that:
 - $xyR \equiv x[\lambda z Rzy] \ \& \ y[\lambda z Rxz]$
- Base case: unadorned theoretical mathematical claims of the form ‘ a bears R to b ’ (relative to theory T) get two readings (suppressing subscripts): abR (true) and Rab (false).
- Complex case (ZF): No set is a member of the empty set. The standard translation is false: $\neg \exists x(Sx \ \& \ x \in \emptyset)$.
- The reading on which it is true:

$$\emptyset_{ZF}[\lambda y^i \neg \exists x(Sx \ \& \ x \in y)]_{ZF} \ \&$$

$$S_{ZF}[\lambda F^{(i)} \neg \exists x(Fx \ \& \ x \in \emptyset)]_{ZF} \ \&$$

$$\in_{ZF}[\lambda F^{(i,i)} \neg \exists x(Sx \ \& \ Fx\emptyset)]_{ZF}$$
- General analysis: where φ^* is the representation of a theorem φ of theory τ and φ^- is the result of substituting new variables y^{t_1}, \dots, y^{t_n} for k^{t_1}, \dots, k^{t_n} in φ :
 - $k_\tau^{t_1} \dots k_\tau^{t_n}[\lambda y^{t_1} \dots y^{t_n} \varphi^-]_\tau$
- By the above axiom:

$$k_\tau^{t_1}[\lambda y^{t_1} \varphi(y^{t_1}/k^{t_1})]_\tau \ \& \ \dots \ \& \ k_\tau^{t_n}[\lambda y^{t_n} \varphi(y^{t_n}/k^{t_n})]_\tau$$

Fine's Puzzle: I

- F is essential to $x =_{df} \Box(E!x \rightarrow Fx)$ (E)
- Counterexample (Fine 1994a, 4):
 - Let $x = s = \text{Socrates}$.
Let $F = K = [\lambda y y \in \{s\}]$.
- In modal set theory, from the fact that singleton Socrates essentially has Socrates as an element, it follows:
 - Necessarily, if Socrates exists, he is an element of singleton Socrates $\Box(E!s \rightarrow Ks)$
- But, intuitively, being an element of singleton Socrates (i.e., K) is not essential to Socrates.

Fine's Puzzle: II

- The Problem: One can prove the counterintuitive claim that being an element of singleton Socrates, $[\lambda y y \in \{s\}]$, is essential to Socrates (' s '). It follows from the assumption that having Socrates as an element, $[\lambda y s \in y]$, is essential to singleton Socrates (' $\{s\}$ '):
 - *Proof.* Suppose $[\lambda y s \in y]$ is essential to $\{s\}$. Then, by (E) above, $\Box(E!\{s\} \rightarrow [\lambda y s \in y]\{s\})$, and by λ -conversion, it follows that $\Box(E!\{s\} \rightarrow s \in \{s\})$. But, it is a principle of modal set theory that necessarily, singleton Socrates exists iff Socrates exists, i.e., $\Box(E!\{s\} \leftrightarrow E!s)$. So, $\Box(E!s \rightarrow s \in \{s\})$ (by the S5 inference rule: from $\Box(\varphi \rightarrow \psi)$ and $\Box(\varphi \leftrightarrow \chi)$, we may infer $\Box(\chi \rightarrow \psi)$). And by λ -conversion, $\Box(E!s \rightarrow [\lambda y y \in \{s\}]s)$. Thus, by (E) again, $[\lambda y y \in \{s\}]$ is essential to Socrates.

Essence, Modality, and Abstract Objects

- What properties do abstract objects ‘have’ necessarily? (Restrict x, y, \dots to abstract objects.)
- Distinguish: $\Box Fx$ vs. $\Box xF$
- Definition: $Essential(F, x) =_{df} xF$
- Now we work towards proof that mathematical objects have their mathematical properties essentially. We do this for two arbitrarily selected mathematical objects and one of their properties.
- Show:
 - $Essential([\lambda x x \in \{\emptyset\}]_{ZF}, \emptyset_{ZF})$, i.e., $\emptyset_{ZF}[\lambda x x \in \{\emptyset\}]_{ZF}$

Remember Our Previous Example of the Analysis

- By mathematical practice, $\vdash_{ZF} \emptyset \in \{\emptyset\}$ and so:

$$\vdash_{ZF} [\lambda x x \in \{\emptyset\}]\emptyset \quad \vdash_{ZF} [\lambda x \emptyset \in x]\{\emptyset\} \quad \vdash_{ZF} [\lambda R \emptyset R\{\emptyset}]\in$$

- By Importation (suppressing indices): $ZF \models \emptyset \in \{\emptyset\}$ and further:

$$ZF \models [\lambda x x \in \{\emptyset\}]_{ZF}\emptyset_{ZF} \quad ZF \models [\lambda x \emptyset \in x]_{ZF}\{\emptyset\}_{ZF} \quad ZF \models [\lambda R \emptyset R\{\emptyset}]_{ZF}\in_{ZF}$$

- Instances of Equivalence Theorem:

$$\emptyset_{ZF}F \equiv ZF \models F\emptyset_{ZF} \quad \{\emptyset\}_{ZF}F \equiv ZF \models F\{\emptyset\}_{ZF} \quad \in_{ZF}\mathcal{F} \equiv ZF \models \mathcal{F}\in_{ZF}$$

- Consequences:

$$\emptyset_{ZF}[\lambda x x \in \{\emptyset\}]_{ZF} \quad \{\emptyset\}_{ZF}[\lambda x \emptyset \in x]_{ZF} \quad \in_{ZF}[\lambda R \emptyset R\{\emptyset}]_{ZF}$$

- The first of these is, by definition: *Essential* $([\lambda x x \in \{\emptyset\}]_{ZF}, \emptyset_{ZF})$.

Back to Fine: 'Impure' Abstracta

- M = Modal Set Theory + Urelements.
- Theorems of object theory which take the following form:
 - $M \models F\{s\}_M$
- Instance of the Theoretical Identification Principle:

$$\{s\}_M = \iota x(A!x \ \& \ \forall F(xF \equiv M \models F\{s\}_M))$$
- Consequence: The properties essential to singleton Socrates are the properties it exemplifies according to M, since these are its encoded properties.

Essence, Modality, and ‘Impure’ Abstracta

- The data = theorems of M:
 - $\vdash_M s \in \{s\}$
 - $\vdash_M [\lambda z s \in z]\{s\}$
 - $\vdash_M [\lambda z z \in \{s\}]s$
- Under our analysis, we have the following theorems in object theory. NOTE: We don’t index s to M.
 - $M \models s \in_M \{s\}_M$ (ξ_1)
 - $M \models [\lambda z s \in z]_M \{s\}_M$ (ξ_2)
 - $M \models [\lambda z z \in \{s\}]_M s$ (ξ_3)
- It follows from (ξ_2), given Equivalence:
 - $\{s\}_M [\lambda z s \in z]_M$ (ρ)

Essence, Modality, and ‘Impure’ Abstracta

- It follows from (ρ) and the definition of essential properties: for abstract objects:
 - $Essential([\lambda z s \in z]_M, \{s\}_M)$This proves a premise of Fine’s counterexample.
- Socrates, as an ordinary object, doesn’t encode properties:
 - $\neg s[\lambda z z \in \{s\}]_M.$
- Nothing about Socrates follows by either the Theoretical Identification Principle or the Equivalence Theorem from (ξ_1) – (ξ_3) , since those principles don’t apply to Socrates. Nor can we abstract from them any properties of Socrates in virtue of the properties exemplified by singleton Socrates according to M (they are all encoding claims)
- The asymmetry between Socrates and singleton Socrates is established on theoretical grounds.

Some Traditional Philosophies of Mathematics

- Platonism: The terms and predicates of mathematical language denote abstract objects and abstract relations. Gödel 1944, 1947
- Naturalism: Accept only the mathematics needed for our best scientific theory.
- Fictionalism: Mathematical objects don't exist; mathematical statements are prefixed by a story operator. Field 1980, 1989
- Structuralism: Mathematical language is about pure structures or patterns.
Resnik 1997, Shapiro 1997
- Inferentialism: The content of the terms of mathematical language is their inferential role in the discourse.
Wittgenstein 1956, Sellars 1980, Dummett 1973, Brandom 2000
- Formalism: Mathematics consists of formal theories that manipulate formal symbols within uninterpreted formal systems.
von Neumann 1931, Curry 1951.
- Carnapianism: Every mathematical theory is about (and true of) the objects in its own framework. External existence is just a matter of expedience.
- Logicism: Mathematics is reducible to logic & analytic truths.
Frege 1893/1902, Russell & Whitehead 1910–1913

Observations:

- Traditional Platonism is ‘naive’ or piecemeal. No prior, rigorous theory of abstracta is offered. Epistemological problems as well.
- Fictionalism and If-Thenism don’t treat simple mathematical statements as predications, but the appearances are that they predicate properties of objects.
- Inferentialism needs systematicity. Can we formalize ‘roles’?
- Formalism requires a type/token distinction: the formalisms and rules are stated in terms of types.
- Structuralism offers no mathematics-free theory of structures or theory of patterns.
- Logicism seems to be a non-starter: mathematics has strong existence assumptions, but logic has very weak existence assumptions.

Platonism

- The terms of mathematical language and theories denote abstract objects and abstract relations.
- There are true (encoding) readings of ordinary mathematical statements (i.e., those with no ‘theory-operator’ prefixed):
 ‘2 is prime’ is ambiguous between ‘ $2P$ ’ (true) and ‘ $P2$ ’ (false)
- We’ve achieved one element of Gödel’s program for solving the problem of the ontological status of mathematical objects and concepts (i.e., answering the question of their ‘objective validity’): an axiomatization of metaphysics. (H. Wang 1996)
- Each mathematical theory is about its own domain of abstract objects.
- Epistemological problems addressed in Linsky & Zalta 1995.

Naturalism

- Linsky and Zalta 1995:
 - Reject traditional view of mind-independence and objectivity: abstracta aren't subject to appearance/reality, sparse, or complete.
 - They are a plenitude, and non-arbitrary. Can't have just ZF-sets and not NF, NBG, nonwellfounded sets, etc.
 - Parsimony: accept as few objects as possible in a non-arbitrary way. But with abstract objects this means: accept them all.
 - Knowledge by acquaintance and by description collapses
- Further thoughts: reconceptualize abstract objects as things naturalists already believe in. Use Aristotelian conception of immanent rather than transcendent objects; they arise as patterns in the natural world. How?
- The comprehension principle can't be instantiated until mathematicians put forward a theory. Once we have a theory, we can instantiate comprehension to determine the objects and relations required by the theory.

Fictionalism I

- Reinterpret the quantifier using the distinction between ‘there is’ and ‘there exists’ in natural language. (Contra Quine, don’t rehabilitate language, but rather regiment it.)
- Interpret $E!$ as existence predicate. Distinguish ‘there is an x such that φ ’ ($\exists x\varphi$) and ‘there exists an x such that φ ’ ($\exists x(E!x \ \& \ \varphi)$).
- Our definitions become:
 - $A!x = [\lambda x \neg \diamond E!x]$, i.e., necessarily nonexistent!
- So comprehension now asserts that there *are* (necessarily) nonexistent objects.
- On this interpretation, mathematical objects, e.g., 2, \emptyset , don’t exist. Here, we speak with the learned, since this is what the fictionalist and Field claims.
- We preserve another element of Field’s philosophy, his view that mathematical claims are false. On our view, ordinary mathematical statements do have a false reading. (cf. Field 1980)

Fictionalism II

- We now have an explanation as to why realists and anti-realists can't even agree on the data (i.e., the truth of mathematics). This is explained by an ambiguity in language. No other philosophy explains this.
- Balaguer's 1998 conclusion:
 - On every point, the arguments for and against (full-blooded) platonism or fictionalism evenly cancel out: none is conclusive and we could never know whether one is true. So there is no fact of the matter whether mathematical entities exist.
- Explanation: platonism and fictionalism are two incompatible interpretations of the same formalism and the regimentation of natural language in platonistic or fictionalistic terms is equally good.
- Our analysis isn't subject to the problem of Balaguer's full-blooded platonism: he doesn't have incomplete objects, and so the denotations of the terms of our theories (which are incomplete) can't uniquely specified.

Nominalism

- New forms of nominalism: Azzouni 2004, Priest 2005.
- Azzouni: Quantifier commitment vs. ontological commitment
- Priest: Interpret $\exists x\varphi$ as ‘some x is s.t. φ ’, not as ‘there is an x s.t. φ ’ or ‘there exists an x s.t. φ ’. So $\exists x\varphi$ is existentially neutral.
- Use these ably-defended suggestions to interpret OT.
- The result is Azzouni-Priest-Routley nominalism.
- This also makes sense of Rayo forthcoming (‘ultrathin’ objects) and Linnebo 2018 (“objects whose existence makes no substantial demand upon the world”).
- Abstract objects are ‘ultrathin’ in a couple of senses: (a) a theoretical description is sufficient for acquaintance and reference – no information pathway needed; (b) they encode only the properties attributed in their respective theories.

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